



Governance Risk Compliance

Service Offering

Integrating governance performance, risk, internal control and compliance management (GRC) activities at the Enterprise level with common methods, shared technology and services enable the business to be more efficient, effective and agile with the aim to achieve cost reduction.

Service features

- **Governance:** ethics, stock option grants, corporate policies and business performance management, document process and risk, defined documentation controls, assess effective control, disclosure, certification processes and remediation issues, risk compliance maturity levels and identification of gaps and reporting overlaps, training and coaching.
- **Risk Management:** operational and Enterprise risk management – identification and categories of risk, assess risk, mitigate risk, report on containment of issues.
- **Compliance:** financial operational, regulatory, workplace, IT, internal audits – document processes and risks, define and document controls, assess effectiveness of controls, assess effectiveness controls, disclosure and certification of compliance processes, remediate issues.
- **Quality Management:** Supplier, internal and customer quality reviews.
- **Technology:** integration, automation and road map.

Benefits resolved by solution

- Tactical rather than strategic response to governance, risk and compliance.
- Growing regulatory environments and increased scrutiny from Regulatory bodies, corporate boards and executive teams.
- Higher business complexity.
- Increased focus on accountability.
- Governance risk and compliance activities are managed in silos which potentially increase the overall business risk.
- Parallel business activity – duplication of effort and increasing costs.
- Multiple point software solution to manage governance, risk and compliance.
- Increased activism among shareholders.
- Risks are no longer sole responsibility of specialists.
- Compliance typically begins as a provide with high costs resources to achieve deadlines but are not one-time events.
- Contradictory processes and documentation in different departments.
- Code of conduct not clear.
- Policies lacking or not updated.
- Sarbanes – Oxley requirements needed.
- Internal audit identified issues to mitigate.



Stakeholders who benefit

Information Technology	Strategy & Transformation
Security	Human Resources
Finance & Procurement	Shared & Business Services
Governance Board of Directors	Risk & Policy, Legal & Compliance, Internal Audit
Enterprise Architects	CEO, CIO, CTO, CRO, Executives

Enterprise value add

- Increased organizational effectiveness and eliminates all redundant work.
- Eliminates duplicated software, hardware, training and roll out costs as multiple governance, risk and compliance to be managed with an integrated solution.
- Provides a single version of the truth – available to employees, management, auditors and regulatory bodies.
- Enhanced training of achievements of objectives and obstacles.
- Connected reporting for board, management and external stakeholders.
- Timely understanding of impact from operational decisions.
- Actionable view of changes needed to meet regulatory requirements.

Deliverables

- Ethics, Code of Conduct, Risk, Governance and Workforce Culture review.
- Identification audit of existing governance, risk, compliance, enabling systems and protective systems.
- GRC/IT maturity and process assessment and roadmap.
- Existing GRC evaluation and effectiveness report on strategic performance.
- GRC process optimisation framework, response and improvement action plans.
- Technology ecosystem review and recommendations.

GRC strategic pillars

